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Heat Engines, Heat Pumps, and Refrigerators

Getting something useful from heat

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Heat *can* be useful

- Normally heat is the end-product of the flow/transformation of energy
 - remember examples from lecture #5 (coffee mug, automobile, bouncing ball)
 - heat regarded as waste: as useless end result
- Sometimes heat is what we *want*, though
 - hot water, cooking, space heating
- Heat can *also* be coerced into performing “useful” (e.g., mechanical) work
 - this is called a “heat engine”

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Heat Engine Concept

- Any time a *temperature difference* exists between two bodies, there is a *potential for heat flow*
- Examples:
 - heat flows out of a hot pot of soup
 - heat flows into a cold drink
 - heat flows from the hot sand into your feet
- Rate of heat flow depends on nature of contact and *thermal conductivity* of materials
- If we’re clever, we can channel some of this flow of energy into mechanical work

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Heat → Work

- We can see examples of heat energy producing other types of energy
 - Air over a hot car roof is lofted, gaining *kinetic energy*
 - That same air also gains *gravitational potential energy*
 - All of our *wind* is driven by temperature differences
 - We already know about *radiative* heat energy transfer
 - Our electricity generation thrives on temperature *differences*: no steam would circulate if everything was at the same temperature

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Power Plant Arrangement

Figure 3.4 A diagram of a fuel-burning electric power plant. Here a river provides cooling water to the condenser, but lake water or a cooling tower could serve the same purpose.

Heat flows from T_h to T_c , turning turbine along the way

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Heat Engine Nomenclature

- The symbols we use to describe the heat engine are:
 - T_h is the temperature of the hot object (typ. in Kelvin)
 - T_c is the temperature of the cold object (typ. in Kelvin)
 - $\Delta T = T_h - T_c$ is the temperature *difference*
 - ΔQ_h is the amount of heat that flows out of the hot body
 - ΔQ_c is the amount of heat flowing into the cold body
 - ΔW is the amount of “useful” mechanical work
 - ΔS_h is the change in *entropy* of the hot body
 - ΔS_c is the change in entropy of the cold body
 - ΔS_{tot} is the total change in entropy (entire system)
 - ΔE is the entire amount of energy involved in the flow

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What’s this *Entropy* business?

- Entropy is a measure of disorder (and actually quantifiable on an atom-by-atom basis)
 - Ice has low entropy, liquid water has more, steam has a lot

low energy, low T configuration high energy, high T configuration

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The Laws of Thermodynamics

1. Energy is conserved
2. Total system entropy can never decrease
3. As the temperature goes to zero, the entropy approaches a constant value—this value is zero for a perfect crystal lattice

- The concept of the “total system” is very important: entropy can decrease locally, but it must increase elsewhere by *at least* as much
 - no energy flows into or out of the “total system”: if it does, there’s more to the system than you thought

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Quantifying heat energy

- We've already seen many examples of quantifying heat
 - 1 kilocalorie is the heat energy associated with raising 1 kg (1 liter) of water 1 °C
 - In general, $\Delta Q = c_p m \Delta T$, where c_p is the heat capacity
- We need to also point out that a change in heat energy accompanies a change in entropy:

$$\Delta Q = T \Delta S$$

(T expressed in °K, assumed constant)
- Adding heat increases entropy
 - more energy goes into random motions → more randomness (entropy)

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How much work can be extracted from heat?

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Let's crank up the efficiency

Let's extract a lot of work, and deliver very little heat to the sink

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Not so fast...

- The second law of thermodynamics imposes a constraint on this reckless attitude: **total entropy must never decrease**
- The entropy of the source goes down (heat extracted), and the entropy of the sink goes up (heat added): remember that $\Delta Q = T \Delta S$
 - The gain in entropy in the sink must *at least* balance the loss of entropy in the source
$$\Delta S_{tot} = \Delta S_h + \Delta S_c = -\Delta Q_h / T_h + \Delta Q_c / T_c \geq 0$$

$$\Delta Q_c \geq (T_c / T_h) \Delta Q_h \text{ sets a minimum on } \Delta Q_c$$

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What does this entropy limit mean?

- $\Delta W = \Delta Q_h - \Delta Q_c$, so ΔW can only be as big as the minimum ΔQ_c will allow

$$\Delta W_{max} = \Delta Q_h - \Delta Q_{c,min} = \Delta Q_h - \Delta Q_h(T_c/T_h) = \Delta Q_h(1 - T_c/T_h)$$
- So the maximum efficiency is:

$$\text{maximum efficiency} = \Delta W_{max}/\Delta Q_h = (1 - T_c/T_h) = (T_h - T_c)/T_h$$
 this and similar formulas **must** have the temperature in Kelvin
- So perfect efficiency is only possible if T_c is zero (in °K)
 - In general, this is not true
- As $T_c \rightarrow T_h$, the efficiency drops to zero: no work can be extracted

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Examples of Maximum Efficiency

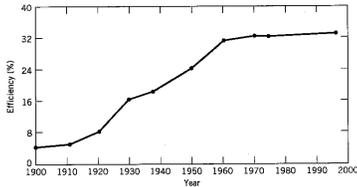
- A coal fire burning at 825 °K delivers heat energy to a reservoir at 300 °K
 - max efficiency is $(825 - 300)/825 = 525/825 = 64\%$
 - this power station can not possibly achieve a higher efficiency based on these temperatures
- A car engine running at 400 °K delivers heat energy to the ambient 290 °K air
 - max efficiency is $(400 - 290)/400 = 110/400 = 27.5\%$
 - not too far from reality

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2xQ

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Example efficiencies of power plants



Efficiency (%)

Year

Figure 3.5 Typical efficiency of an electric power plant for converting chemical energy in the fuel into electric energy. The best new plants now achieve nearly 40%. (Source: Delbert W. Devins, *Energy: Its Physical Impact on the Environment*, John Wiley and Sons, New York, 1982; and U. S. Energy Information Administration, *Electric Power Annual*, 1996, Volume I.)

Power plants these days (almost all of which are heat-engines) typically get no better than 33% overall efficiency

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What to do with the waste heat (ΔQ_c)?

- One option: use it for space-heating locally

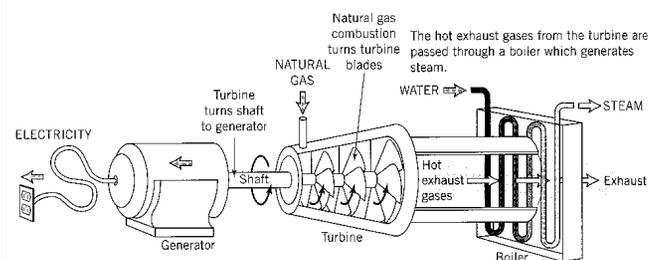


Figure 3.13 A small cogeneration plant that uses the combustion of natural gas to drive a gas turbine coupled to an electric generator. The hot exhaust gases boil water to steam for use in space heating and cooling. (Source: Exxon Corporation.)

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Overall efficiency greatly enhanced by cogeneration

Table 3.1 Cogeneration Plant, University of Colorado, Boulder

Fuel	Natural gas
Engine	2 Mitsubishi industrial gas turbines
Generating capacity	32 MW _e
Capital investment	\$41,000,000
Construction started	1990
System lifetime	40 to 50 years
Estimated payback time	15 years
Average exported electric power	8 MW _e
Cost of electricity produced	\$0.024/kWh
Price of electricity sold	\$0.047/kWh
Annual income from electricity sales	\$1,600,000
Cost of electricity from public utility	\$0.068/kWh
Efficiency for producing electricity	34%
Overall efficiency	70%

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Heat Pumps

Heat Pumps provide a means to very efficiently move heat around, and work both in the winter and the summer

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Heat Pump Diagram

Figure 3.12 An electrically driven heat pump using Freon as a working fluid. In principle, the system becomes an air conditioner if the fluid flow direction is reversed. In practice, the reversal of function is more complex.

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Heat Pumps and Refrigerators: Thermodynamics

Just a heat engine run backwards...

delivered work: $\Delta W = \Delta Q_h - \Delta Q_c$
conservation of energy

efficiency = $\frac{\Delta Q_h}{\Delta W} = \frac{\text{heat delivered}}{\text{work done}}$ (heat pump)

efficiency = $\frac{\Delta Q_c}{\Delta W} = \frac{\text{heat extracted}}{\text{work done}}$ (refrigerator)

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Heat Pump/Refrigerator Efficiencies

- Can work through same sort of logic as before to see that:
 - heat pump efficiency is: $T_h/(T_h - T_c) = T_h/\Delta T$ in °K
 - refrigerator efficiency is: $T_c/(T_h - T_c) = T_c/\Delta T$ in °K
- Note that heat pumps and refrigerators are most efficient for small temperature differences
 - hard on heat pumps in very cold climates
 - hard on refrigerators in hot settings

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Example Efficiencies

- A heat pump maintaining 20 °C when it is -5 °C outside has a maximum possible efficiency of:

$$293/25 = 11.72$$
 - note that this means you can get almost 12 times the heat energy than you are supplying in the form of work!
 - this factor is called the C.O.P. (coefficient of performance); in practice 2–6 in commercial heat pumps
- A freezer maintaining -5 °C in a 20 °C room has a maximum possible efficiency of:

$$268/25 = 10.72 \rightarrow \text{EER} = 3.4 \times 10.7 = 36$$
 - the EER (energy efficiency ratio) is a proxy to this is Btu/hr heat removed per input in Watts
 - 1 Btu/hr is $1055 \text{ J}/3600 \text{ s} = 0.293 \text{ W} \rightarrow 1 \text{ W} = 3.4 \text{ Btu/hr}$
 - EER > 3.4 means better than break-even

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Example Labels (U.S. & Canada)

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Announcements and Assignments

- Chapter 3 goes with this lecture
 - Optional reading on DtM: Heat Pumps Work Miracles
 - <http://physics.ucsd.edu/do-the-math/2012/06/heat-pumps-work-miracles/>
- HW #3 due Friday 4/26:
 - primarily Chapter 2-related problems: (show work or justify answers!); plus [Additional problems \(on website\)](#)
- HW drop box outside my office (SERF 336) for early turn-in
- Remember that Quizzes happen every week
 - available from Thurs. afternoon until Friday midnight
 - three attempts (numbers change)
 - the better to learn you with

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